

**CITIZEN’S ETHICS ADVISORY BOARD  
OF THE OFFICE OF STATE ETHICS  
18-20 Trinity Street, Hartford, CT 06106-1660**

**MINUTES OF THE MEETING OF DECEMBER 19, 2013**

The Citizen’s Ethics Advisory Board (“Board”) of the Office of State Ethics (“OSE”) held a Regular Meeting on Thursday, December 19, 2013, in the 5<sup>th</sup> Floor Conference Room, 18-20 Trinity Street, Hartford, CT 06106.

Chairman Charles Chiusano called the meeting to order at 1:04 p.m.

The following members of the Board were present:

- Charles F. Chiusano, Chairman
- Herbert A. Grant, Vice-Chairman
- Mary Bigelow, Member
- David Gay, Member
- Susan Gruen, Member
- Roger Kemp, Member
- Dennis J. Riley, Member (Participated via teleconference)
- Daniel Young, Member

The following staff members of the OSE were present:

- Carol Carson, Executive Director
- Barbara Housen, General Counsel
- Thomas K. Jones, Ethics Enforcement Officer
- Brian O’Dowd, Deputy General Counsel
- Cynthia Isales, Assistant General Counsel
- Peter Lewandowski, Assistant General Counsel
- Mark E. Wasielewski, Deputy Ethics Enforcement Officer
- Marc W. Crayton, Assistant Ethics Enforcement Officer
- Iris E. D’Oleo-Edwin, Clerk of the Board

The following topics were addressed during the meeting:

1. The minutes of the November 21, 2013 Regular Meeting were presented to the Board for approval.

**On the motion of Mr. Kemp, seconded by Mr. Grant, the Board voted seven (7) to zero (0) to approve the minutes of the November 21, 2013 Regular Meeting, as amended. Board member Gay abstained.**

2. Chairman Chiusano presented the Chairman’s report. He addressed the Board regarding the Executive Director’s Annual Evaluation, on the need of a Hearing Officer for the January 23, 2014 UAPA hearing, and on the 2013 Council on Governmental Ethics Laws (COGEL) Conference.

Board member Kemp volunteered to preside over the January 23, 2014 hearing and Chairman Chiusano volunteered as a backup.

3. The Board discussed the CEAB Policy No. 4, dated March 2010 - Citizen's Ethics Advisory Board ("Board") Members Volunteering Time and/or Services for Federal, State or Local Political Campaigns.
4. Chairman Chiusano provided an opportunity for discussion of new business. There was no new business.
5. Mr. O'Dowd presented the Draft Advisory Opinion 2013-04. The Board discussed the Opinion.

**On the motion of Mr. Young, seconded by Mr. Gay, the Board voted eight (8) to zero (0) to adopt Advisory Opinion 2013-04.**

6. General Counsel Housen presented the Legal Division Report. She reported that there are new developments in the Priscilla Dickman litigation that would be presented to the Board during the Executive Session.
7. Ethics Enforcement Officer Jones presented the Enforcement Division Report. He reported on the ongoing confidential probable cause hearing in Docket No. 2012-36, which has been continued to January 10 and 21, 2013, and on the need to empanel the Board within 30 days if probable cause is found by the Judge Trial Referee.
8. Ethics Enforcement Officer Jones presented the audit report for Camilliere, Cloud & Kennedy.

**On the motion of Mr. Kemp, seconded by Mr. Gay, the Board voted eight (8) to zero (0) to approve the audit report for Camilliere, Cloud & Kennedy.**

9. Executive Director Carson presented the Executive Director's Report. She reported on the 2013 COGEL Conference and on upcoming improvements to the OSE's electronic filing systems. She also reported that Board member Riley, the CEAB appointee to the Governmental Accountability Commission (GAC), attended a meeting to interview candidates for the Executive Administrator position at the Office of Governmental Accountability (OGA), and that five names have been submitted to the Governor for consideration. Finally, Ms. Carson reported that the Attorney General's Office rendered a formal opinion concluding that the GAC has the authority to set goals and expectations, and to evaluate the OGA Executive Administrator.
10. Assistant General Counsel Lewandowski presented the last package of legislative proposals to be recommended for the 2014 Legislative Session. This proposal addresses changes to the business affiliation section of the Statement of Financial Interests. The Board discussed the proposals.

**On the motion of Mr. Grant, seconded by Ms. Gruen, the Board voted eight (8) to zero (0) to approve the proposal for the 2014 Legislative Session.**

11. Chairman Chiusano presented the opportunity for public comments. There were no public comments.

**On the motion of Mr. Kemp, seconded by Mr. Gay, the Board voted eight (8) to zero (0) to move into Executive Session, pursuant to General Statutes § 1-210 (b) (4) to discuss strategy and negotiations with respect to pending litigation in the Matter of Priscilla Dickman.**

12. The following individuals attended the Executive Session:

- Charles F. Chiusano
- Herbert A. Grant
- Mary Bigelow
- David Gay
- Susan Gruen
- Roger Kemp
- Dennis J. Riley
- Daniel Young
- Carol Carson
- Barbara Housen
- Thomas K. Jones
- Brian O'Dowd
- Cynthia Isales
- Peter Lewandowski
- Iris E. D'Oleo-Edwin

**On the motion of Mr. Kemp, seconded by Mr. Gay, the Board voted eight (8) to zero (0) to move back into public session.**

13. Chairman Chiusano shared with the Board two emails from ethics liaisons commending Director of Education and Communications Nancy Nicolescu regarding ethics trainings she conducted.

**On the motion of Mr. Kemp, seconded by Ms. Bigelow, the Board voted eight (8) to zero (0) to move into Closed Session to discuss the status of a matter that is confidential pursuant to Conn. Gen. Stat. § 1- 82a. Mr. Young recused himself and left the meeting.**

14. The following individuals attended the Closed Session:

- Charles F. Chiusano
- Herbert A. Grant
- Mary Bigelow
- David Gay
- Susan Gruen
- Roger Kemp
- Dennis J. Riley
- Carol Carson
- Barbara Housen
- Brian O'Dowd
- Marc Crayton
- Cynthia Isales
- Peter Lewandowski
- Iris E. D'Oleo-Edwin

15. **On the motion of Mr. Kemp, seconded by Mr. Gay, the Board voted seven (7) to zero (0) to come out of Closed Session.**

16. **On the Motion of Mr. Kemp, seconded by Ms. Bigelow, the Board voted seven (7) to zero (0) to adjourn the meeting at 2:07 p.m.**

Respectfully submitted by,

Iris E. D'Oleo-Edwin, Clerk  
Citizen's Ethics Advisory Board

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Date approved  
(By the Citizen's Ethics Advisory)